

The terms of this Schedule (the “**Information Schedule**”) apply in addition to the Master Terms to all Information Services provided to Client.

## 1. SCOPE

- 1.1. This Information Schedule applies whenever Client subscribes to an Information Service. Capitalized terms used in this Information Schedule but not defined in clause 14 below are defined in the Master Terms.
- 1.2. In some cases additional or modified rights to the rights provided in this Information Schedule will be included in an Order Form for a particular Service.

## 2. USAGE RESTRICTIONS

Unless expressly permitted under an Order Form, Client will not use Information to create an Index that will be used as or forms the basis of a tradable instrument in the nature of a security.

## 3. BASIC USAGE PERMISSION

- 3.1. Subject to the terms of the Agreement, Refinitiv permits Client to access the Service(s) for the purpose of exercising the usage terms detailed in this Information Schedule or an Order Form. Each User may:
  - (a) view, use and copy (download and/or print) reasonable amounts of Information as required for Client’s internal business purposes;
  - (b) Distribute Insubstantial Portions of Information in a Non-Systematic manner;
  - (c) Distribute Information to other Users who have a subscription from Refinitiv to view the same Information; and
  - (d) Redistribute Insubstantial Portions of Information to: \*(i) third parties for illustrative or demonstration purposes provided such third parties are not permitted to redistribute such materials; and/or (ii) government and regulatory authorities solely to the extent specifically requested by such government or regulatory authority for the purposes of investigating Client’s compliance with laws and regulations,
- 3.2. \*Subject to clause 3.1 above, the Client shall not, and shall require that Users shall not create subsets or derivative works of, sell or distribute the Information.

## 4. ADDITIONAL USAGE PERMISSION FOR INDIVIDUAL SERVICES

- 4.1. For Services designated as an Individual Service on the Order Form, in addition to the permissions granted in clause 3 of this Information Schedule, Refinitiv permits each User to:
  - (a) modify the Information, and create Derived Data, solely for the User’s individual use; and
  - (b) Distribute and Redistribute Insubstantial Portions of Derived Data in a Non-Systematic manner.
- 4.2. If Client is receiving an Individual Service that includes broker research, then:
  - (a) Client will ensure that the broker research is viewed only by entitled Users; and
  - (b) The permissions granted in Clause 3(b), 3(c), 3(d) and 4.1 do not apply to the broker research.

## 5. ADDITIONAL USAGE PERMISSION FOR ENTERPRISE SERVICES

- 5.1. For Services designated as an Enterprise Service on the Order Form, in addition to the permissions granted in clause 3 of this Information Schedule, Refinitiv permits Client to:

- (a) deliver Information to an Application for use by such Application within the usage rights or Business Activity described in an Order Form; and
  - (b) Distribute Information from such Application to Users.
- 5.2. Where a User accesses Information from an Application as permitted in clause 5.1(b) above, Client shall pay the relevant Fees on a per User basis (in addition to any other Fees), unless the Client is already paying Refinitiv for a subscription for that User in respect of the same Information. Client shall report to Refinitiv via its Access Declaration those User(s) who have access to Information. The total Fees payable will be adjusted per billing period based on the number of User declared on the Access Declaration submitted prior to the relevant billing period.

## 6. \*NOT USED

## 7. USE OF RICS

- 7.1. Where Refinitiv supplies RICS to Client as part of a Service, Client may do the following:

### Use with Information

- (a) use such RICS to access and identify Information supplied as part of that Service;
- (b) store Information (where permitted to do so under the Agreement) with the associated RIC;
- (c) store RICS in the ordinary course of Client’s use of the relevant Service within mapping tables which Client maintains to cross-refer RICS to alternative symbols, provided that the RIC is not used directly or indirectly to retrieve data from a source other than Refinitiv’s, and subject to the consent of the third party symbology owner as appropriate;
- (d) Distribute or Redistribute Information (where permitted to do so under the Agreement) with the associated RIC as its primary identifier;

### Use with data created by Client

- (e) use RICS to identify broker research and/or earnings estimates created by Client; store them with the associated data in Client’s internal databases; and use them to retrieve such data for Client’s internal use.
- (f) send RICS with broker research and/or earnings estimates created by Client when Client Distributes or Redistributes such data in the ordinary course of its business (including to research and estimates revendors), provided that RICS are provided on the basis that the recipients can only use RICS to identify the associated data for Reference Purposes in the ordinary course of their own business;

### Use with Contributed Data

- (g) use RICS to input Contributed Data into Refinitiv’s services;
- (h) for Contributed Data which does not constitute Information (i.e., which is in Client’s own format in Client’s internal databases and is not being accessed by Client through a Service), use the associated RICS with such data for Reference Purposes for internal use only.

- 7.2. Attribution. Where Client is permitted to Distribute or Redistribute RICS for Reference Purposes only, it must ensure that RICS are attributed to Refinitiv.

- 7.3. Storage. All RICS supplied with a Service must be deleted on termination of the relevant Service, except to the extent required by, and for use only to identify and retrieve associated

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Information which Client is required to continue storing to comply with any applicable laws and regulations.

## **8. CONTRIBUTED DATA**

- 8.1. Client grants to Refinitiv a perpetual right to include Client's Contributed Data in any product or service provided by Refinitiv or its Affiliates from time to time, subject to any restrictions in this clause 8.1. Client's Contributed Data may be categorized in one of three ways: (a) "Open Access" Contributed Data is released to all clients of Refinitiv and its Affiliates subscribing to the relevant product or service; (b) "Public Restricted Data Set" (Public RDS) Contributed Data is released to clients of Refinitiv and its Affiliates subscribing to the relevant product or service, except those clients whom Client specifies in advance; or (c) "Private Restricted Data Set" (Private RDS) Contributed Data is released only to those clients of Refinitiv and its Affiliates whom Client specifies in advance.
- 8.2. Client will ensure that Client's Contributed Data (a) comprising real-time prices is representative of the rates that Client is willing to and actually trades at the time of contribution, and (b) Client's input of Contributed Data: (i) complies with Refinitiv's quality, network security and capacity requirements; (ii) complies with applicable laws and regulations and does not contain objectionable material; and (iii) is in the form and quantity agreed to by Refinitiv. Client shall not charge clients of Refinitiv or its Affiliates to access Contributed Data that is available through an Individual Service. Refinitiv may limit or remove Contributed Data from distribution or limit or block Contributed Data input, if Client does not comply with these requirements.
- 8.3. This clause 8 shall not apply to Contributed Data provided to Refinitiv solely for use on an Internet site developed and hosted by Refinitiv on behalf of Client for display of Client-provided content.

## **9. STORAGE**

Client may not store Information or Derived Data except to the extent necessary to benefit from the usage permissions granted under this Agreement. In addition, Client may store Information and Derived Data to the extent required by, and may use it only to comply with, applicable laws and regulations. However, in no event may Client use the stored Information or Derived Data as a substitute for any Service (or substantial part of it) provided by Refinitiv or its Affiliates. All Information and Derived Data must be deleted on termination of the relevant Service, except to the extent required by, and for use only to comply with, any applicable laws and regulations. Storage of RICs is covered in clause 7.3 of this Information Schedule.

## **10. ACCESS DECLARATIONS**

Client shall complete Access Declarations where Client controls or is required to disclose Users' or Client's Customers' access to or consumption of a Service. Refinitiv will advise Client of when and in what format to submit these Access Declarations to Refinitiv. Client shall ensure that accurate Access Declarations are completed and that Client maintains effective internal control systems and procedures to establish and verify the information contained in any Access Declaration. Client must provide Refinitiv with written details of such systems and procedures upon Refinitiv's request. Refinitiv may require that the Client run checks on usage, and report the results to Refinitiv. Access Declarations are used to determine the Charges due; however, Refinitiv and its Third Party Providers reserve the right to apply additional charges where Access Declarations contain errors.

## **11. SERVICES DESIGNATED FOR LOCAL MARKETS**

Some Services are specifically designed for a local market. If Refinitiv advises Client that this is the case with respect to a Service, Client agrees not to Distribute or Redistribute the Service (in whole or in part) outside that local market.

## **12. ATTRIBUTION**

Except as otherwise specified in another Schedule or Order Form, Client must ensure that all Information it is permitted to Distribute or Redistribute is attributed to Refinitiv as the source (or, where applicable, the relevant Third Party Provider), in the following format: "Source: Refinitiv".

## **13. ADDITIONAL CANCELLATION RIGHTS FOR THIRD PARTY INFORMATION**

Client has the option to stop receiving the Information from Third Party Providers, and paying the associated Charges, where that Information is provided as an add-on to a Service (and is therefore designated as a "Related Charge" on the Order Form). Client shall provide Refinitiv with at least 60 days' notice of such cancellation, which shall take effect:

- (a) in the case of Information from stock exchanges, on the first day of the following quarter where Refinitiv provides for Client to declare its usage of the relevant Information via an Access Declaration, but otherwise on the first day of the following month; or
- (b) in the case of Information from other Third Party Providers, on the first day of the following quarter where Refinitiv provides for Client to declare its usage of the relevant Information via an Access Declaration, but otherwise at the end of the Initial Term or the Renewal Term (each, as defined on the Order Form) for the relevant Service.

## **14. DEFINITIONS**

**Application** – a server-based application, the details of which may be set forth on an Order Form.

**Business Activity** – a permitted activity as specified on an Order Form.

**Client's Customer(s)** - anyone other than Client's employees and authorized contractors outside the Site or any Recipient Location.

**Distribute** - to send within the Site and to Recipient Locations.

**Enterprise Service** - a Service designated as an "Enterprise" Service on an Order Form.

**Index** - a statistical or numerical measure of the value and changes to the value of a representative grouping of securities or other investment vehicles.

**Information Service** – all Services designated on an Order Form as "Individual", "Enterprise", "Redistribution" or "Lipper", and any other Service that contains "Information."

**Insubstantial Portions** - limited extracts which: (a) have no independent commercial value; and (b) could not be used as a substitute for any service (or a substantial part of it) provided by Refinitiv, its Affiliates or its Third Party Providers.

**Non-Systematic** - use on an infrequent basis and not automatically generated by machine or regularly created by individual Users.

**Reference Purposes** - as one of the identifiers of the relevant data (i.e., neither to access nor as the only method of identifying such data).

**Recipient Location** - any of Client's offices (other than a Site), or any of Client's Subsidiary's offices, in each case, which receive Information from a Site.

**Redistribute** - to send outside the Site or any Recipient Location in the ordinary course of Client's business.

**Site** - any location of Client to which Refinitiv supplies access to the Services directly, as specified on an Order Form.

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