



Statement of Pledge regarding the Prevention of Antisocial Forces

[] (“Company”) hereby represents and undertakes in relation to the existing and future agreements with respect to any dealings between Refinitiv Japan K.K. (“Refinitiv”) and Company (collectively, “Agreement”), as follows:

1. Company represents and warrants that it does not, and will not in the future, fall under any of the following people:
 - (1) Boryokudan (Organized Crime Syndicates)
 - (2) Boryokudan members, persons who used to be members thereof within the last 5 years
 - (3) Boryokudan quasi-members
 - (4) Boryokudan-related companies
 - (5) Sokaiya (Corporate Extortionists), Sham Social Activists, or Violent Group of Special Intelligence.
 - (6) Any others similar to (1) to (5) above
(Collectively, “Antisocial Forces”)
2. Company represents and warrants that each of the following is true and accurate:
 - (1) Antisocial Forces neither directly nor indirectly control its operation of business.
 - (2) Antisocial Forces are not materially involved in its operation of business.
 - (3) It does not make use of Antisocial Forces with an intention to raise illegal funds or damage third parties.
 - (4) It does not provide funding or accommodate Antisocial Forces while being aware that they are Antisocial Forces.
 - (5) Neither its board members nor managers substantially involved in the operation of Company have any socially condemnable relationship with Antisocial Forces while being aware that they are Antisocial Forces.
3. Company represents and warrants that it does not conduct or make third parties to conduct the following actions:
 - (1) Make a violent demand.
 - (2) Make an unreasonable demand clearly exceeding legal responsibility.
 - (3) Seek economic benefit through the use of threats, fraud or violence.
 - (4) Destroy reputation or interfere business of Refinitiv by spreading unfounded rumours or using fraudulent means or forces.
 - (5) Any other activities similar to (1) to (4) above.
4. Refinitiv may immediately terminate the Agreement in whole or part, with prior notice to Company but without performing Refinitiv obligations under the Agreement, if any of the representations and warranties under this Statement are breached by Company; provided, however, that this section shall not apply where such breach is occurred unintentionally and such breach is corrected by Company immediately.
5. Company shall not claim damages against Refinitiv even if the Agreement is terminated pursuant to Section 4 above.
6. Company shall, upon termination of the Agreement and upon request by Refinitiv, either return or destroy all confidential information and personal information with regard to in a manner specified by Refinitiv.

By signing below, Company agrees that it will be bound by this Statement.

[Name of the Company]
Executed by:
Print Name:
Title
Date of execution: